

DECREASED EXPRESSION OF *BRCA2* mRNA PREDICTS FAVORABLE RESPONSE TO DOCETAXEL IN BREAST CANCER

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The clinical usefulness of *BRCA1* and *BRCA2* mRNA levels in tumor tissues in the prediction of response to docetaxel (DOC) treatment has been studied in breast-cancer patients. Twenty-five patients with locally advanced breast tumors (n = 13) or locally recurrent tumors (n = 12) underwent tumor biopsy and were treated with DOC (60 mg/m² every 3 weeks). *BRCA1* and *BRCA2* mRNA levels in the tumors were determined by real-time PCR, and the expression of 6 biological markers (P-glycoprotein, p53, erbB2, BCL2, MIB1, estrogen receptor- α) in the tumors was determined by immunohistochemistry. *BRCA2* mRNA levels (0.547 ± 0.200 , mean \pm SE) of responders to DOC treatment were significantly ($p < 0.05$) lower than those of non-responders (1.538 ± 0.358), but there was no significant difference in *BRCA1* mRNA levels between responders (0.389 ± 0.081) and non-responders (0.779 ± 0.172). Tumors were dichotomized into groups with high or low *BRCA2* mRNA levels according to the cut-off value of 0.13. The response rate (25%) of tumors with high *BRCA2* mRNA levels was significantly ($p < 0.01$) lower than that (100%) of tumors with low *BRCA2* mRNA levels. Positive predictive value, negative predictive value and diagnostic accuracy of the *BRCA2* mRNA assay in the prediction of response to DOC were 100%, 75% and 80%, respectively. No significant difference was found between responders and non-responders in the expression status of any of the other 6 biological markers. These results suggest that *BRCA2* mRNA levels in tumor tissues might be clinically useful in the prediction of response to DOC treatment in breast-cancer patients.

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BRCA1 and *BRCA2* are well-established breast cancer-susceptibility genes and have been cloned through linkage analysis using large breast-cancer families.^{1,2} Both are considered to be classical tumor-suppressor genes since loss of both alleles is required for carcinogenesis.^{3,4} Several important functions of *BRCA1* and *BRCA2* have been disclosed,⁵ including regulation of the G₂-M checkpoint. *BRCA1* and *BRCA2* co-localize to centrosomes during mitosis and control the assembly of mitotic spindles as well as the appropriate segregation of chromosomes to daughter cells.^{6,7} Mouse fibroblasts carrying a mutant *Brcal* are unable to arrest at G₂-M and suffer from amplification of the centrosomes, resulting in abnormal chromosomal segregation and aneuploidy.⁸ Consistent with this *in vitro* finding, we have shown that low *BRCA1* expression is significantly associated with chromosomal instability in human breast cancer.⁹

Somatic mutation of *BRCA1* and *BRCA2* is very rare,^{10–13} but loss of heterozygosity is frequently observed at 17q12-q21 and 13q12-q13, implicating these 2 genes in the pathogenesis of breast cancer through a regulatory (not a structural) mutation or through hypermethylation of the promoter region leading to attenuated transcription. *BRCA1* mRNA levels are mostly down-regulated and *BRCA2* mRNA levels are both up-regulated and down-regulated in sporadic breast cancers compared with normal breast tissue.^{14–16} Hypermethylation of the promoter region of *BRCA1* explains the down-regulation in some sporadic breast cancers.^{14,17} Since the promoter region of *BRCA2*, unlike *BRCA1*, is not hypermethylated,¹⁸ another mechanism appears to be operative in the down-regulation of *BRCA2* mRNA.

Docetaxel (DOC) is one of the most active anti-neoplastic drugs in the treatment of breast cancer.¹⁹ DOC binds to and stabilizes microtubules.²⁰ Mitotic spindles are the microtubules most sensi-

tive to DOC treatment; thus, DOC affects the assembly of mitotic spindles to the centrosomes and induces cell-cycle arrest at G₂-M phase, culminating in apoptosis.²⁰ Since *BRCA1* and *BRCA2* also play an important role in the assembly of mitotic spindles, it is speculated that tumors with low expression of *BRCA1* and *BRCA2* mRNA might be more sensitive to DOC treatment.

It is important to develop predictors of response to DOC treatment, to improve treatment efficiency. Although several mechanisms of resistance to DOC have been postulated experimentally,¹⁹ no predictors with clinical usefulness have been demonstrated in breast cancer. Thus, in the present study, we studied the clinical usefulness of *BRCA1* and *BRCA2* mRNA expression in tumor tissues as predictors of response to DOC treatment in breast-cancer patients. In addition, the clinical usefulness of various other biological markers [P-glycoprotein (P-gp), p53, erbB2, BCL2, MIB1, estrogen receptor (ER)], often studied as predictors of doxorubicin treatment, was also studied.

MATERIAL AND METHODS

Patients

Twenty-five female patients (median age 51 years, range 34–65) with locally advanced breast cancer (n = 13) or locally recurrent breast cancer in the chest wall (n = 7) or in the regional lymph node (n = 5) were recruited. Patient characteristics are shown in Table I. Of the 12 patients with locally recurrent tumors, 1 had lung metastases and 4 had bone metastases concurrently. All patients underwent biopsy of breast tumors or locally recurrent tumors (incisional biopsy or vacuum-associated core needle biopsy) before chemotherapy. Surgical specimens were snap-frozen in liquid nitrogen and kept at –80°C until use.

Four cycles of DOC (60 mg/m² i.v. every 3 weeks) were given to patients with locally advanced breast tumors as neoadjuvant chemotherapy, and DOC was given until disease progression to patients with locally recurrent tumors. Chemotherapy and hormone therapy prior to DOC treatment in patients with locally recurrent tumors are described in Table II.

RNA extraction

Total cellular RNA was extracted from surgical specimens using TRIZOL reagent according to the protocol provided by the manufacturer (Molecular Research Center, Cincinnati, OH). The 3 μ g of total RNA were reverse-transcribed for single-strand cDNA using oligo-(dT)15 primer and Superscript II (Life Technologies, Gaithersburg, MD) and scaled up to a final volume of 50 μ l. The RT reaction was performed at 42°C for 90 min, followed by heating at 70°C for 10 min.

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TABLE I—PATIENT CHARACTERISTICS

	Patients with locally advanced primary tumors	Patients with locally recurrent tumors
Menstrual status		
Pre-menopause	7	4
Post-menopause	6	8
Performance status		
0	13	9
1	0	2
2	0	1
Stage		
II	6	7 ¹
III	7	3
Unknown	0	2
Histological type		
Invasive ductal carcinoma	11	11 ¹
Invasive lobular carcinoma	0	0
Others	2	0
Unknown	0	1
Histological grade		
I	4	1 ¹
II	6	7
III	3	2
Unknown	0	2
Estrogen receptor		
Positive	7	6
Negative	6	6
Disease-free interval		
Median (range) (months)	N.A. ²	26 (5–79)

¹Stage, histological type and grade of primary breast tumor.—²Not applicable.

TABLE II—THERAPY PRIOR TO DOC IN PATIENTS WITH LOCALLY RECURRENT TUMORS

Therapy	Number of patients
Adjuvant therapy ¹	
None	1
Hormonotherapy	0
Chemotherapy	5
Hormono chemotherapy	6
Treatment after recurrence ²	
Hormonotherapy	
None	5
One regimen	4
Two regimens	3
Chemotherapy	
None	3
One regimen	6
Two regimens	3

¹Hormonotherapy (tamoxifen), chemotherapy (CMF) and hormono-chemotherapy (CMF + tamoxifen).—²Hormonotherapy (tamoxifen or medroxyprogesterone) and chemotherapy (CEF or 5'DFUR).—C, cyclophosphamide; M, methotrexate; F, 5-fluorouracil; E, epirubicin; 5'DFUR, 5'-deoxyfluorouridine.

Primers, probes and real-time PCR

Primers and probes for the *BRCA1* and *BRCA2* target genes were determined with the assistance of the computer program *Primer Express* (Perkin-Elmer Applied Biosystems, Foster City, CA) and selected so that they were located at different exons (exons 22 and 23 for *BRCA1* and exons 25 and 26 for *BRCA2*), to prevent amplification from contaminated genomic DNA. The sequences of probes for *BRCA1* and *BRCA2* were 5'-CATCAT-TACCCCTTGGCACAGGTGT-3' and 5'-TGATCCCAAGTGG-TCCACCCCAAC-3', respectively. Both probes were labeled by FAM fluorescent spectrum as a reporter. Amplification primer pairs were 5'-ACAGCTGTGTGGTCTTCTGTG-3' and 5'-CATTGTCTCTGTCCAGGCATC-3' for *BRCA1* and 5'-CTT-GCCCCCTTCGTCTATTTG-3' and 5'-TACGGCCCTGAAGTA-CAGTCTT-3' for *BRCA2*. PCRs were carried out using the ABI

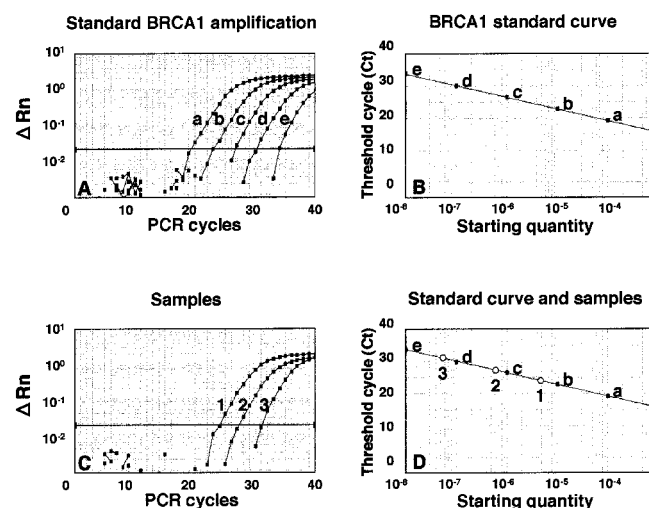


FIGURE 1—*BRCA1* standard curve by real-time RT-PCR. (a) Amplification plots. Samples containing 5 different dilutions (a, 10^{-4} ; b, 10^{-5} ; c, 10^{-6} ; d, 10^{-7} ; e, 10^{-8}) of standard plasmids for *BRCA1* were subjected to real-time PCR. Cycle number is plotted vs. change in normalized reporter signal (ΔRn). For each reaction tube, the fluorescence signal of the reporter dye (dye for *BRCA1* and *BRCA2* was FAM, dye for β -glucuronidase was VIC) was divided by the fluorescence signal of the passive reference dye (TAMRA), to obtain a ratio defined as the normalized reporter signal (Rn). ΔRn represents the normalized reporter signal (Rn) minus the baseline signal established in the first 15 PCR cycles. ΔRn increases during PCR as the *BRCA1* PCR product copy number increases until the reaction reaches a plateau. C_t represents the fractional cycle number at which a significant increase in Rn above the baseline signal (horizontal black line) can first be detected. Two replicates for each standard curve point sample (a–e) were performed, but the data for only 1 are shown. (b) Standard curve plotting log starting copy number vs. C_t . (c) Representative results of real-time PCR for *BRCA1* mRNA levels in 3 tumor tissues (samples 1–3). (d) Calculation of *BRCA1* mRNA levels (samples 1–3) according to the standard curve.

Prism 7700 Sequence Detection System (Perkin-Elmer Applied Biosystems) with a total volume of 50 μ l reaction mixture containing 1 μ l of cDNA template, 25 μ l TaqMan Universal PCR Master Mix (Perkin-Elmer Applied Biosystems), 0.1 μ M probe and 0.3 μ M of each primer. PCR conditions for *BRCA1* and *BRCA2* were as follows: after incubation at 50°C for 2 min and denaturing at 95°C for 10 min, 40 cycles at 95°C for 15 sec and 61°C for 1 min. To quantify gene transcripts precisely, we monitored the β -glucuronidase transcripts as the quantitative control and each sample was normalized on the basis of its β -glucuronidase transcript content. The primer probe mixture for β -glucuronidase was purchased from Perkin-Elmer Applied Biosystems and the method of PCR followed the manufacturer's protocol. Briefly, 50 μ l reaction mixture containing 1 μ l cDNA template, 25 μ l TaqMan Universal PCR Master Mix and 2.5 μ l primer probe mixture were amplified by the program as follows: after incubation at 50°C for 2 min and denaturing at 95°C for 10 min, 40 cycles at 95°C for 15 sec and at 60°C for 1 min.

Standard curves for *BRCA1*, *BRCA2* and β -glucuronidase mRNA were generated using serially diluted solutions (10^{-4} to 10^{-8}) of plasmid clones with either *BRCA1*, *BRCA2* or β -glucuronidase cDNA inserted as template (Fig. 1a). The parameter C_t was designed as the fractional cycle number at which the fluorescence signal was induced, resulting from cleavage of the probe above the threshold level. The amount of target gene expression was calculated from the standard curve (Fig. 1b), and quantitative normalization of cDNA in each sample was performed using expression of the β -glucuronidase gene as an internal control. Finally, *BRCA1* and *BRCA2* mRNA levels were shown as ratios to β -glucuronidase mRNA levels. Real-time PCR assays were con-

ducted in duplicate for each sample, and the mean value was used for calculation of mRNA expression levels.

Immunohistochemical assay

Expression of P-gp, p53, erbB2, MIB1, BCL2 and ER- α was assessed by immunohistochemistry. Characteristics of antibodies are summarized in Table III. Sections (4 μ m thick) from formalin-fixed, paraffin-embedded tissue blocks were incubated with each dilution of antibody. After incubation, specimens were processed using the avidin-biotin-peroxidase complex method, to detect the accumulation of each protein. Positive tumor cells were quantified by evaluating at least 1,000 cells and expressed as percentages. All samples were evaluated in a blinded procedure, without knowledge of the clinical outcome.

Evaluation of chemotherapeutic response

Chemotherapeutic response was clinically evaluated as follows: (i) complete response (CR), disappearance of all known disease; (ii) partial response (PR), $\geq 50\%$ decrease in tumor size; (iii) no change (NC), $< 50\%$ decrease or $< 25\%$ increase in tumor size; (iv) progressive disease (PD), $\geq 25\%$ increase in tumor size or appearance of new lesions. CR and PR were defined as responders and NC and PD, as non-responders.

Statistical methods

BRCA1 and BRCA2 mRNA expression and P-gp, p53, erbB2, MIB1, BCL2 and ER- α expression were compared between responders and non-responders by Student's *t*-test (Fig. 2). Tumors were dichotomized into groups with high or low BRCA2 mRNA expression according to an arbitrary cut-off value (0.13), and the relationship between BRCA2 mRNA expression and response to DOC was analyzed by the χ^2 test. Statistical significance was set at $p < 0.05$.

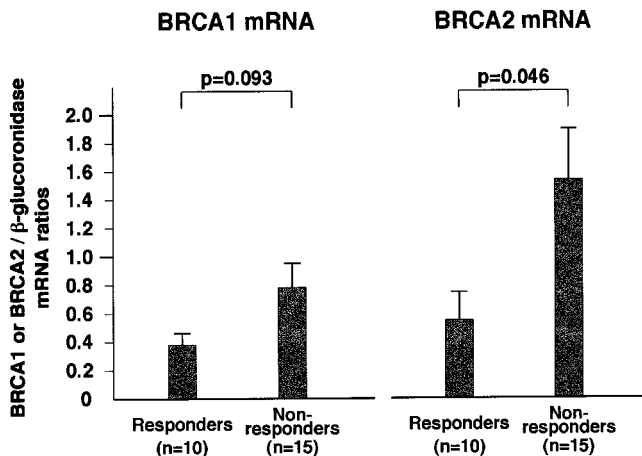


FIGURE 2 – Comparison of BRCA1 and BRCA2 mRNA levels determined by real-time PCR between responders and non-responders to DOC treatment. Bars = SE.

RESULTS

Relationship between BRCA1 and BRCA2 mRNA expression and clinical response to DOC treatment

We analyzed 13 patients with locally advanced breast tumors and 12 with locally recurrent tumors. Of these 25 patients, 10 showed a response (CR+PR) to DOC treatment with a response rate of 40%. BRCA1 and BRCA2 mRNA expression levels in the tumors were determined by real-time PCR. BRCA1 mRNA levels (0.623 ± 0.114) were significantly ($p < 0.05$) lower than BRCA2 mRNA levels (1.142 ± 0.246). BRCA1 and BRCA2 mRNA levels were compared between responders (CR+PR, $n = 10$) and non-responders (NC+PD, $n = 15$). BRCA1 mRNA levels of responders showed a non-significant ($p = 0.09$) trend toward a decrease compared with those of non-responders, and BRCA2 mRNA levels of responders were significantly ($p < 0.05$) lower than those of non-responders.

The relationship between BRCA2 mRNA levels and response to DOC treatment is shown in Table IV. The response rate (40%) of tumors with high BRCA2 mRNA levels was significantly ($p < 0.01$) lower than that (100%) of tumors with low BRCA2 mRNA levels. Positive predictive value, negative predictive value and diagnostic accuracy of the BRCA2 mRNA assay in the prediction of response to DOC were 100%, 75% and 80%, respectively.

Comparison of expression of various biological markers determined by immunohistochemistry between responders and non-responders to DOC treatment

The expression status of various biological markers (P-gp, p53, erbB2, MIB1, BCL2 and ER- α ; Table V) was studied by immunohistochemistry, and the results (% of immunohistochemically positive cancer cells) were compared between responders and non-responders. No significant difference was found between responders and non-responders in the expression status of any of these 6 markers.

DISCUSSION

We have shown that BRCA2 mRNA levels are significantly lower in responders than non-responders to DOC treatment and suggest that BRCA2 mRNA status can be used as a predictor of response to DOC treatment. Our observation that tumors with low BRCA2 mRNA levels are more sensitive to DOC treatment appears to be consistent with the thesis that DOC exerts its anti-neoplastic effect more easily in tumors where the function of mitotic spindles is already retarded to some extent due to low expression of BRCA2. By setting an arbitrary cut-off value, we have also indicated that BRCA2 mRNA levels can be used as a predictor of response to DOC treatment with a positive predictive value of 100%, a negative predictive value of 75% and a diagnostic accuracy of 80%. This possibility deserves further study, including a larger number of patients, since no clinically useful predictor of response to DOC treatment is available.

BRCA1 mRNA levels, like BRCA2, were also lower in responders than non-responders, but the difference was statistically not significant ($p = 0.09$). BRCA2 mRNA expression is both up-regulated and down-regulated in breast tumors, but BRCA1 mRNA expression is down-regulated in almost all sporadic breast cancers compared with normal breast tissues.^{14–16} Consistently, we have

TABLE III – ANTIBODY CHARACTERISTICS

Antibody	Directed against	Vendor/donation	Mono-/polyclonal	Host species	Antibody class	Dilution
C219	P-gp	CIS bio international (Yvette, France)	Monoclonal	Mouse	IgG _{2b}	1:50
DO-7	p53	Dako (Glostrup, Denmark)	Monoclonal	Mouse	IgG _{2b}	1:100
c-erbB2	c-erbB2	Nichirei (Tokyo, Japan)	Polyclonal	Rabbit	IgG	1:100
bcl-2 (124)	bcl-2	Dako	Monoclonal	Mouse	IgG ₁	1:100
MIB-1	Ki-67	Immunotech (Marseille, France)	Monoclonal	Mouse	IgG ₁	1:100
ER α (H-184)	ER α	Santa Cruz Biotechnology (Santa Cruz, CA)	Polyclonal	Rabbit	IgG	1:100

TABLE IV – RELATIONSHIP BETWEEN BRCA2 mRNA LEVELS AND RESPONSE TO DOC TREATMENT

	Responders	Non-responders	<i>p</i>
BRCA2 mRNA ¹			
High	5 ²	15	0.0022
Low	5	0	

¹BRCA2 mRNA levels were dichotomized into high and low groups according to an arbitrary cut-off value (0.13).—²Number of patients.

TABLE V – ASSOCIATION BETWEEN EXPRESSION OF BIOLOGICAL MARKERS AND RESPONSE TO DOC

	Responders	Non-responders	<i>p</i>
P-gp	8.2 ± 1.7 ¹	8.6 ± 1.6	0.887
p53	15.8 ± 5.4	23.6 ± 6.9	0.436
erbB2	12.5 ± 6.3	17.8 ± 6.6	0.597
MIB1	32.2 ± 5.4	31.0 ± 3.9	0.859
BCL2	24.5 ± 9.4	20.0 ± 6.1	0.679
ERα	10.6 ± 2.1	17.2 ± 3.9	0.223

¹Percentage of immunohistochemically positive cancer cells (mean ± SE).

found that *BRCA1* mRNA levels are significantly lower than *BRCA2* mRNA levels. Thus, almost all breast tumors are considered to have already suffered from a significant loss of *BRCA1* function. It is speculated that a further small decrease in *BRCA1* mRNA expression does not affect the sensitivity of tumors to DOC treatment as seen for *BRCA2* mRNA expression.

Several biological markers have often been studied for their clinical usefulness as predictors of response to doxorubicin treatment, including multidrug-resistance genes (P-gp, *etc.*), tumor-suppressor genes (p53, *etc.*), oncogenes (erbB2, *etc.*), mitotic activity markers (MIB1, *etc.*), apoptosis-related genes (BCL2, *etc.*) and hormone receptors (ER, *etc.*).^{21–24} Some of these markers have been suggested to be clinically useful in the prediction of response to doxorubicin treatment. However, the clinical significance of these markers as predictors for DOC treatment has rarely been studied in breast-cancer patients. *In vitro* studies have shown that resistance to DOC can be induced by P-gp, which is a product of multidrug-resistance gene 1 (*MDR1*), because DOC is pumped out by P-gp like doxorubicin.²⁵ The clinical significance of P-gp expression in the prediction of doxorubicin resistance has been suggested,^{21,22} but in the present study, we could not find a significant difference in P-gp expression between responders and non-responders to DOC treatment, indicating that P-gp does not play an important role in the acquisition of DOC resistance. Other

multidrug-resistance proteins (MRP1, *etc.*) might be involved in the efflux of DOC from cancer cells.

p53 is a tumor-suppressor gene that plays an important role in the G₁–S checkpoint; it is one of the most frequently studied markers as a predictor of response to doxorubicin in breast cancer.²⁴ p53 mutation (loss of function) inhibits doxorubicin-induced apoptosis, resulting in drug resistance. Similarly, p53 mutation serves as one of the resistance mechanisms to DOC treatment experimentally.^{26,27} However, we could not find any significant difference in p53 immunostaining between responders and non-responders. We also dichotomized the tumors into p53⁺ and p53[−] groups, according to the cut-off value of 10% since this value can differentiate such tumors with high accuracy into mutation positive and negative groups. Again, we could not find a significant difference between responders (56%) and non-responders (60%) (*p* = 0.831, χ^2 test), suggesting that p53 status is not clinically useful as a predictor of response to DOC treatment. Since we estimated p53 mutation status by immunohistochemistry, p53 mutations leading to protein truncation (nonsense or frameshift mutations) were overlooked, but the incidence of such mutations is generally not high enough to change our conclusion.

Other markers studied here included erbB2, MIB1, BCL2 and ER-α, which have been frequently studied as predictors of response to doxorubicin-containing chemotherapy in breast cancers;²³ however, their clinical significance is unclear. We studied the possibility that these markers can serve as predictors of response to DOC treatment but were not able to find a significant difference in expression of any of them between responders and non-responders, indicating that they are unlikely to serve as clinically useful predictors of response to DOC treatment.

As a resistance mechanism to paclitaxel, which is another taxane as active as DOC in the treatment of breast cancer, change of expression levels of β -tubulin subtypes in cell culture²⁸ and mutation of the β -tubulin gene in lung cancers²⁹ have been suggested. DOC and paclitaxel are thought to exert their anti-neoplastic activity through similar mechanisms, but the fact that DOC is effective in 18% to 33% of breast-cancer patients who are resistant to paclitaxel strongly indicates that the resistance mechanisms to these 2 drugs are not identical and the above-mentioned resistance mechanisms to paclitaxel need to be investigated for DOC.^{30,31}

In conclusion, we suggest that *BRCA2* mRNA levels in tumor tissues might be useful in the prediction of response to DOC treatment in breast-cancer patients and that the other markers (P-gp, p53, erbB2, BCL2, MIB1, ER-α) frequently studied as predictors of response to doxorubicin treatment are not useful for DOC treatment. Our preliminary observation on *BRCA2* needs to be confirmed at the protein level in a larger number of patients after suitable antibodies become available.

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