THEOPHYLLINE CONTROLLED-RELEASE FORMULATIONS: IN VIVO–IN VITRO CORRELATIONS

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ABSTRACT

Four experimental controlled-release oral solid dosage formulations were developed and the *in vitro* dissolution characteristics of theophylline from these formulations were studied in USP apparatus I. Pharmacokinetic evaluation of these formulations was carried out in eight beagle dogs under fasting conditions. Theophylline in a 5% dextrose injection USP, oral solution, and Slo-Phyllin[®] were used as controls to estimate the *in vivo* dissolution of these four formulations in the GI tract. The percentage cumulative amounts of drug absorbed and the percentage cumulative amounts of drug released into the GI tract from these four controlled-release formulations were obtained by numerical deconvolution methods. The *in vivo* and *in vitro* dissolution data demonstrated good correlation indicating that *in vitro* dissolution tests can be used to optimize the further design of controlled drug release oral solid dosage formulations for theophylline.

KEY WORDS: in vitro-in vivo correlations; theophylline; controlled-release formulations; in vivo dissolution; in vitro dissolution; beagle dog

INTRODUCTION

Earlier studies in the field of controlled drug delivery have focused on the design and *in vitro* testing of the delivery system. Few studies have attempted to reveal the drug release kinetics in the gastrointestinal (GI) tract. Such data are essential for the scientist to understand the *in vivo* behavior of drug delivery systems. Knowledge of the drug release kinetics in the GI tract can help in the design of *in vitro* dissolution tests that adequately correlate with *in vivo* data. Such correlations can be used to optimize the controlled drug release systems, saving time and cost. They can also be used to develop predictive models, increasing experimental efficiency. More importantly, once such correlations have been established, *in vitro* tests can be used to control batch to batch bioequivalence.

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Although the GI tract introduces a large number of variables which may significantly influence both the rate and the extent of drug absorption,¹ oral drug administration remains the most important method of administering drugs for systemic effects. Among the various drug formulations, solid dosage forms represent the preferred class.²

To reduce the risks and to minimize the cost of human study, an animal model for testing is preferred. The dog has been shown to be a suitable animal model for *in vivo* drug release studies.³⁻¹⁸

The overall objective of this study was to correlate the *in vitro* drug release and the *in vivo* drug delivery characteristics of the same drug from several controlled-release oral solid dosage formulations exhibiting different *in vitro* release mechanisms, using the beagle dog as an animal model.

Theophylline is a bronchodilator used primarily in the treatment of chronic obstructive pulmonary disease. Its pK_a is 8.8 and its aqueous solubility is 8.3 g L^{-1} . Its activity is related to its serum concentration and the bronchodilator effect is proportional to the logarithm of that concentration up to $20 \mu \text{g m L}^{-1}$. Its effective serum therapeutic range is between 10 and $20 \mu \text{g m L}^{-1}$. Theophylline is rapidly, consistently, and completely absorbed when administered as a liquid; peak plasma levels are attained within 2–3 h. The elimination half-life is 5–9 h in nonsmoking healthy subjects.^{19, 20}

The elimination half-life of theophylline in dogs is about 5.7 h and the apparent specific volume of distribution is $0.73-0.82 \text{ L kg}^{-1}$. The absorption of theophylline from plain uncoated beads is rapid and complete in dogs.^{21,22} Toxicity occurs at higher plasma theophylline concentrations in dogs (37–60 µg mL⁻¹) than in man (> 20 µg mL⁻¹).²³ Plasma protein binding of theophylline in dogs has been reported as 44%²² and 9.9%.²⁴ Recently, several researchers have completed theophylline absorption and bioavailability studies in dogs.^{25–28}

In this study, four experimental controlled-release oral solid dosage formulations exhibiting different *in vitro* release mechanisms were developed. The *in vitro* and the *in vivo* dissolution characteristics of these formulations were studied, and their *in vitro-in vivo* correlations were assessed.

EXPERIMENTAL SECTION

Materials and methods

Formulations. Four experimental controlled-release oral solid dosage formulations containing 200 mg theophylline per capsule or tablet were manufactured. Formulation 1 (FT-1) is a hard gelatin capsule (size 0) containing uncoated beads with 50% theophylline (theophylline anhydrous No. 325, Knoll) and 50% Avicel RC-581 MCC (Avicel RC-581 microcrystalline cellulose, NF, FMC Corporation). Formulation 2 (FT-2) is a hard gelatin capsule (size 0) containing uncoated beads with 50% theophylline and 50% Avicel PH-101 MCC (Avicel PH-101 microcrystalline cellulose, NF, FMC Corporation). Both FT-1 and FT-2 were manufactured by a process previously described.^{29, 30} Formulation 3 (FT-3) is a hard gelatin capsule (size 0) containing coated beads prepared by coating FT-2 with Surelease (Colorcon Inc.) and HPMC (hydroxypropyl methylcellulose, E5 Premium Grade, Dow Chemical Company) with 18% weight increase of solid among them are 16% HPMC and 84% Surelease by a process previously described.³¹ Formulation 4 (FT-4) is a swellable matrix tablet containing theophylline, Klucel (Klucel HXF anhydrous, hydroxypropyl cellulose, Hercules Inc.), lactose (lactose anhydrous, Sheffield), and magnesium stearate (Mallincrodt) at a ratio of 50:30:19:1 and was manufactured by a direct compression process previously described.³²

Theophylline in 5% dextrose injection USP was a gift from Dr. Theodore J. Roseman of Baxter Healthcare Corporation. Slo-Phyllin[®] (syrup) was purchased from Rorer Pharmaceutical Corporation. Theophylline oral solution was prepared by dissolving theophylline anhydrous in purified water (100 mg/14 mL).

In vitro dissolution tests. Dissolution tests were carried out on each controlledrelease formulation the day before the animal experiment. The dissolution testing was performed using a rotating basket apparatus, which is described in USPXX as the USP Dissolution Test Apparatus I. Testing was conducted at a basket rotational speed of 50 rpm. The dissolution medium was 900 mL of purified water USP, 0·1 N hydrochloric acid, or pH 7·2 phosphate buffer maintained at 37 °C. The sample size was adjusted to be equivalent to 200 mg of active ingredient. A sample was removed through a 40–60 μ m sintered glass filter (gas dispersion tube) at different sampling times. The sample was replaced with an equal volume of purified water USP. The samples were diluted with purified water USP for UV analysis at 272 nm.

Analytical method. Plasma concentrations of theophylline were determined by a previously described HPLC method.³³

Animal studies. Eight healthy male beagle dogs weighing between 10 and 13 kg were studied. The beagle dogs were housed in a room with air and humidity control. Each dog was fasted for 12–18 h prior to each study day. After dosing, food but not water was withheld until the end of each study. Each dog received the same formulation on the same day. There was a washout period of at least 2 weeks between two consecutive studies. Each beagle dog received the same dose: 20 mL (80 mg theophylline anhydrous) of theophylline in 5% dextrose injection USP for bolus intravenous injection; 37.5 mL (200 mg theophylline) of Slo-Phyllin[®]; 14.0 mL (100 mg theophylline) of oral solution; and one tablet or capsule (200 mg theophylline anhydrous) for each CR formulation. Venous

blood samples (2-3 mL) were taken via the cephalic vein. Clotting of blood samples was prevented by use of a Vacutainer tube which contained 45 USP units of sodium heparin. Plasma was immediately separated by centrifugation at 3000 rpm for 15 min and stored at -20 °C. Before assaying, the plasma was allowed to reach room temperature, vortexed for 10 s, and the residual clot removed.

Data analysis. The unit (1 mg) impulse response model parameters of individual beagle dogs after a bolus intravenous injection of theophylline were estimated by fitting the normalized unit dose (1 mg) plasma theophylline concentration time data to the following two-term exponential equation:

$$C_{\delta}(T) = c_1 \mathrm{e}^{-\lambda_1 T} + c_2 \mathrm{e}^{-\lambda_2 T} \tag{1}$$

where c_1 , c_2 are coefficients and λ_1 , λ_2 are exponents.

The percentage cumulative amount of drug absorbed at time T, $P_{abs}(T)$, from Slo-Phyllin[®] and oral solution were obtained by the nonlinear regression numerical deconvolution method³⁴ and the plasma theophylline concentration following a bolus intravenous injection was defined as the impulse response. In the nonlinear regression numerical deconvolution method, the drug absorption rate function $R_{abs}(T)$, and the percentage cumulative amount of drug absorbed at time T, $P_{abs}(T)$, were represented by the following equations:

$$R_{\rm abs}(T) = u(T - T_{\rm lag})k_{\rm a}P_{\rm abs}^{\infty} e^{-k_{\rm a}(T - T_{\rm lag})}$$
(2)

$$P_{\rm abs}(T) = u(T - T_{\rm lag})P_{\rm abs}^{\infty} \left(1 - e^{-k_{\rm g}(T - T_{\rm lag})}\right)$$
(3)

where k_a is the first-order absorption rate constant, P_{abs}^{∞} is the calculated total percentage cumulative amount of drug absorbed, T_{lag} is the lag time and u(t) is the unit step function:

$$u(t) = 0 \qquad \text{for } t < 0 \tag{4}$$

$$u(t) = 1 \qquad \text{for } t \ge 0 \tag{5}$$

The percentage cumulative amounts of drug absorbed at time T, $P_{abs}(T)$, from the four controlled-release formulations were obtained by the fixed-stepnumber equal-step-length numerical deconvolution method,³⁴ and the plasma theophylline concentration following a bolus intravenous injection was defined as the impulse response.

The percentage cumulative amounts of drug released into the GI tract from CR formulations 1 and 2 were obtained by the nonlinear regression numerical deconvolution method, and the following equation was used to define the unit impulse response $C_{\delta a}(T)$:

$$C_{\delta a}(T) = \frac{c_1 k_a}{k_a - \lambda_1} (e^{-\lambda_1 T} - e^{-k_a T}) + \frac{c_2 k_a}{k_a - \lambda_2} (e^{-\lambda_2 T} - e^{-k_a T})$$
(6)

In the nonlinear regression numerical deconvolution method, the drug release rate function $R_{GI}(T)$, and the percentage cumulative amount of drug released into the GI tract at time T, $P_{GI}(T)$, were approximated by the RRSBW distribution function³⁵ and are represented by the following equations:

$$R_{\rm GI}(T) = \frac{\beta P_{\rm RRSBW}^{\infty}}{\tau_{\rm d}^{\beta}} T^{\beta-1} e^{-\left(\frac{T}{\tau_{\rm d}}\right)^{\beta}}$$
(7)

$$P_{\rm GI}(T) = P_{\rm RRSBW}^{\infty} (1 - e^{-\left(\frac{T}{T_d}\right)^{\beta}})$$
(8)

where $P_{\text{RRSBW}}^{\infty}$ is the calculated total percentage cumulative amount of drug released, τ_d is the time parameter, and β is the shape parameter of the RRSBW distribution.³⁵

The percentage cumulative amounts of drug released into the GI tract from CR formulations 3 and 4 were obtained by the fixed-step-number equal-steplength numerical deconvolution method, and the unit impulse response was defined by equation (6).

RESULTS AND DISCUSSION

In vitro dissolution studies

The *in vitro* dissolution profiles are shown in Figure 1. In 0.1N HCl or pH 7.2 phosphate buffer, the Avicel RC-581 MCC spheres remained intact. An inert matrix model best describes the spheres in 0.1N HCl and in pH7.2 phosphate buffer. The spheres exhibited 100% release after 2h of dissolution testing. We have found that, in water, the swollen gel-like mass filled the sample basket at the end of the dissolution test. A hydrogel model best describes the spheres in water. For formulation 2, spheres remained intact and unswollen during the dissolution process. The spheres exhibited complete release after 2h of dissolution testing. An inert matrix model best describes the drug release mechanism. However, at this high drug loading level (50:50), the release may actually be complicated by the matrix break-up and irregular geometry of the spheres. Spheres remained intact and unswollen during the dissolution process for formulation 3. The drug release mechanism is assumed to be the diffusion of drug across the porous membrane. In formulation 4, Klucel HXF (hydroxypropyl cellulose, HPC) is a water insoluble cellulose ether with hydroxypropyl groups present on the molecule. In water and 0.1 N HCl, the polymer containing dispersed drug absorbs a significant amount of water to

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Figure 1. In vitro dissolution of theophylline from four different formulations: ---, in purified water; -▲-, in 0·1 N HCl; -▼-, in pH 7·2 phosphate buffer

form an elastic gel, which tends to sustain drug release. Surprisingly, the tablet dissolves in pH 7.2 phosphate buffer. Presumably, this is due to the interaction between the polymer and water soluble diluent anhydrous lactose.

In vivo dissolution studies

The unit impulse response model parameters for each beagle dog after a bolus intravenous injection of theophylline are shown in Table 1. The firstorder drug absorption model parameters for the dogs after an oral administration of theophylline aqueous solution and Slo-Phyllin® (syrup) are presented in Tables 2 and 3 respectively. Tables 2 and 3 show that the drug was absorbed completely from oral solution. The overestimated total percentage cumulative amount of drug absorbed from the Slo-Phyllin® suggests nonlinearity at higher dose levels. Since the pharmacokinetic study for Slo-Phyllin® was conducted within 2 h, the estimated parameters are considered accurate.

The plasma theophylline concentration-time profiles for each controlledrelease formulation are shown in Figure 2. The results of calculated percentage

Table 1. Unit The unit	: (1 mg) imp impulse res	ulse response ponse was ap	model parame proximated by	ters for individ the equation (ual beagle dog $\mathcal{I}_{\delta}(T) = c_1 e^{-\lambda_1}$	ss after a bol $T + c_2 e^{-\lambda_2 T}$,	lus intraveno where c_1, c_2 ,	us injection of λ_1 , λ_2 are particular	f theophylline. trameters
					Dog	S			
Parameter	Unit	A	8	ບ	D	щ	ц	Ċ	Н
c1	µgmL ⁻¹	0-01539	0-07683	0-060 79	0-02574	0-043 88	0-04212	0-009 42	0-048 18
c2	µg mL ^{- I} h - I	0-08178 1-3845	0-102 54 18-077	0-04334 0-39618	0-08611 4-1816	0-08571 3-3777	0-083 03 9-3711	0-08239 0-13109	0-11335 11-238
፤ረኛ	h-1	0-072 19	0.191 08	0.053 50	0.16614	0.11396	0-13573	0.11384	0-169 19
Table 2. First solution, obta the calculated	t-order drug ined from ti 1 total perce	absorption m he nonlinear r entage cumula	odel parameter egression num tive amount o	rs for individual erical deconvoli of theophylline ovided by Mr.	l beagle dogs a ution method, absorbed. Th Galal El-Saye	fter an oral <i>a</i> where <i>k</i> s is t tese paramet	dministratio he first-order ers are based	n of theophyll rate constant l on the oral	ine anhydrous , and $P\infty_{44}$ is solution data
					Dogs				
Parameter	Unit -	A	В	c	D	ш	L L	υ	Н
$k_{\rm a}$ $P_{\rm abs}^{\infty}$	h−1 %	3-9312 83-862	11-054 117-64	0-957 23 119-59	4·3024 107·61		5-4067 04-28	0-67690 88-736	1·2006 109·70

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 $k_{\mathbf{a}}^{k}$

on of Slo-Phyllin [®] , obtained P_{abs}^{∞} is the calculated total		Н	1.4642 141.50 0.071 88
		G	1.2097 153.12 0.137.17
al administrati rate constant, s the lag time		F	1.0987 157-53 0-12387
igs after an oracle first-order control T_{lag} is ped, and T_{lag} is	ßs	ы	2.1555 148.79 0.118.36
dual beagle dc, where $k_{\rm a}$ is t obylline absorb	Ď	D	2:4657 145:02 0:083 17
sters for indivi ution method, tount of theop		С	3-9986 140-00 0-060 99
model parame rical deconvoli cumulative am		В	4-2270 129-32 0-063 66
ug absorption ression nume percent		A	4.6629 139-05 0-068 55
Table 3. First-order dr from the nonlinear reg		Unit	h-1 h %
		Parameter	$k_{ m abs} P_{ m abs}^\infty$ $T_{ m lag}$

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Figure 2. Plasma concentration-time curves of theophylline for four different formulations: ---, formulation 1; ---, formulation 2; ---, formulation 3; ----, formulation 4

cumulative amount of drug absorbed for each formulation are shown in Figure 3. The results of calculated percentage cumulative amount of drug released into the GI tract for each formulation are shown in Figure 4. For formulation 4, since dose dumping was observed, the estimated total percentage cumulative amount of drug absorbed from the Slo-Phyllin[®] was used to calibrate the calculation.

Due to the variability of the data, the percentage cumulative amount of drug released into the GI tract can not be generated for dog H of formulation 1, dogs A and H of formulation 2, and dogs G and H of formulation 4.

In vivo-in vitro correlations

The point to point ratios of percentage cumulative amount of theophylline released *in vivo* versus *in vitro* for each formulation are shown in Figure 5. The *in vivo-in vitro* drug release profiles for each formulation are shown in Figure 6. Figures 5 and 6 show that drug was released completely within 30 min from both formulations 1 and 2. Although *in vivo* drug release rates from both formulations 1 and 2 are shown to be slower than those *in vitro*, caution must be taken in interpreting the results, because the dissolution rate for both



Figure 3. Percentage cumulative amount of the ophylline absorbed against time for four different formulations: --, formulation 1; --, formulation 2; --, formulation 3, --, formulation 4



Figure 4. Percentage cumulative amount of the ophylline released against time for four different formulations: -, formulation 1; -, formulation 2; -, formulation 3; -, formulation 4



Figure 5. Point-point in vivo-in vitro correlations of four different formulations: ____, in vivo against in 0.1 N HCl; ____, in vivo against in pH 7.2 phosphate buffer



Figure 6. In vivo and in vitro theophylline release profiles of four different formulations: ---, in purified water; ----, in 0.1 N HCl; ----, in pH 7.2 phosphate buffer; -----, in dog GI tract

formulations is so fast that the *in vivo* dissolution rate is very sensitive to variations of drug absorption rate from oral solution. We believe that these results show good *in vivo* and *in vitro* correlations for both formulations 1 and 2.

In vivo-in vitro correlations for formulations 3 and 4 are more mechanistic. Figure 1 shows that the dissolution rate for formulation 3 is pH and/or ionic strength dependent; therefore, variations of *in vivo* dissolution rate would be expected among the beagle dogs. From Figure 4, the drug release profiles for formulation 3 indicate that the coated beads may have broken up, suggesting that the physical strength of the coated beads is at the critical level. Figures 5 and 6 indicate that for formulation 3, there is a better correlation between the *in vivo* dissolution rate and the *in vitro* dissolution rate pH 7·2 phosphate buffer. From Figure 4, it is obvious that formulation 4 dissolved in the GI tract between 0.5 and 3 h which is what was observed in the *in vivo* dissolution study in pH 7·2 phosphate buffer. Figures 5 and 6 further indicate a good correlation between the *in vivo* dissolution rate and the *in vitro* dissolution rate in pH 7·2 phosphate buffer. Figures 5 and 6 further indicate a good correlation between the *in vivo* dissolution rate and the *in vitro* dissolution rate in pH 7·2 phosphate buffer. Figures 5 and 6 further indicate a good correlation between the *in vivo* dissolution rate and the *in vitro* dissolution rate in pH 7·2 phosphate buffer. Figures 5 and 6 further indicate a good correlation between the *in vivo* dissolution rate and the *in vitro* dissolution rate in pH 7·2 phosphate buffer.

We conclude that the *in vivo* and *in vitro* dissolution data demonstrates a good correlation indicating that *in vitro* dissolution tests can be used to optimize the further design of controlled drug release oral solid dosage formulations for theophylline.

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